

03-17-06

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PATENTS
GS-30 Cont. 4 Re.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Application for : 6,321,381
Reissue of Patent No.

Issued : November 20, 2001

Applicants : Henry C. Yuen et al.

Application No. : 10/720,006 Confirmation No. : 3985

Filed : November 20, 2003

For : APPARATUS AND METHOD FOR IMPROVED
PARENTAL CONTROL OF TELEVISION USE

Group Art Unit : 2614

New York, New York 10020
March 15, 2006

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Statement to Deposit Account No. 06-1075 (Order No. 004033-0030). A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,


Alexander Shvarts
Alexander Shvarts
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"Express Mail" mailing label number EV619619256US
Date of Deposit March 15, 2006

I hereby certify that the enclosures listed below
are being deposited with the United States Postal Service
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under
37 C.F.R. § 1.10 on the date indicated above and are
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Name Isatta B. Smith

Encl.:

- Transmittal Letter for Information Disclosure Statement (in duplicate);
- Information Disclosure Statement;
- Form PTO/SB/08 (in duplicate);
- Copies of twenty-one (21) cited references; and
- Return postcard

Express Mail Label
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PATENTS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents and publications of record in the above-identified patent application:

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V. Brugliera, "Digital On-Screen Display-A New Technology for the Consumer Interface," Symposium Record Cable Sessions of the 18th International Television Symposium & Technical Exhibition, June 1993, pp. 571-586.

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CableData, Roseville Consumer Presentation, Mar. 1986.

J. A. Chiddix et al., "Off-Premises Broad-Band Addressability: A CATV Industry Challenge," American Television and Communications Corporation, Stamford Connecticut 1989 NCTA Technical Papers, 1989, pp. 57-64.*

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G. Libman, "Times Staff Writer Chaining the Channels, A New Generation of Television Blocking Systems Allows More Options for Parent, Seeking to Control Kids' Viewing," August 9, 1993.*

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G.F. Vaeth et al., "The Addressable Controller of the Future," General Instrument/Jerrold Communication, 1990 NCTA Technical Papers, 1990, pp. 274-279.*

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L. West et al., "Off Premises Technology Comparisons,"
Scentific Atlanta 1989 NCTA Technical Papers, 1989, pp.
39-56.*

"Westar and Videotoken Network Present The CableComputer"
(Plaintiff's Exhibit 334).

The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Copies of the foreign patents and the non-patent publications are enclosed, with the exception of the non-patent publications marked with an asterisk (*), which were previously cited in parent U.S. Patent Application No. 09/558,536, filed April 26, 2000, now U.S. Patent No. 6,321,381, in accordance with 37 C.F.R. § 1.98.

It is respectfully requested that these patent documents and publications be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of the Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

4

Complete if known

| | |
|-----------------------------|----------------------|
| Application Number | 10/720,006 |
| Filing Date | November 20, 2003 |
| First Named Inventor | Henry C. Yuen et al. |
| Art Unit | 2614 |
| Examiner Name | |

Attorney Docket Number GS-30 Cont. 4 Re.

U.S. PATENT DOCUMENTS

| Examiner initials* | Cite No. ¹ | Document Number Number – Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ¹ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language translation is attached.

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| Substitute for form 1449/PTO | | | | Complete if known | |
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| | | | | First Named Inventor | Henry C. Yuen et al. |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 10/720,006 |
| Sheet | 3 | of | 4 | Filing Date | November 20, 2003 |
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| | | | | Attorney Docket Number | GS-30 Cont. 4 Re. |

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| | | 5,425,101 | 06/13/1995 | Woo et al. | |
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| Examiner initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Country Code ¹ - Number ² - Kind Code ³ | | | |
| | | B-21592/88 | 03/02/1989 | Australia | |
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| NON PATENT LITERATURE DOCUMENTS | | | |
|--|-----------------------|--|----------------|
| Examiner initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
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|---|---|----|---|--------------------------|----------------------|
| Substitute for form 1449/PTO | | | | Complete if known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 10/720,006 |
| Sheet | 4 | of | 4 | Filing Date | November 20, 2003 |
| | | | | First Named Inventor | Henry C. Yuen et al. |
| | | | | Art Unit | 2614 |
| | | | | Examiner Name | |
| | | | | Attorney Docket Number | GS-30 Cont. 4 Re. |

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| | | "A New Approach To Addressability," CableData product brochure, Cable Data, Sacramento, California, undated. | |
| | | V. Brugliera, "Digital On-Screen Display-A New Technology for the Consumer Interface," Symposium Record Cable Sessions of the 18th International Television Symposium & Technical Exhibition, June 1993, pp. 571-586. | |
| | | CableComputer User's Guide, bearing a date of December 1985 (Plaintiff's Exhibit 289). | |
| | | CableData, Roseville Consumer Presentation, Mar. 1986. | |
| | | J. A. Chiddix et al., "Off-Premises Broad-Band Addressability: A CATV Industry Challenge," American Television and Communications Corporation, Stamford Connecticut 1989 NCTA Technical Papers, 1989, pp. 57-64. | |
| | | M. Dufresne, "New Services: An Integrated Cable Network's Approach, Videotron Communication LTEE," Technical Papers of NCTA 31st Annual Convention & Exposition, pp 156-160 (1982). | |
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| | | Michael Dufresne, "New Services: An Integrated Cable Network's Approach, Videotron Communication LTEE," Technical Papers of NCTA 31st Annual Convention & Exposition, pp 156-160 (1982). | |
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| | | D. H. Smart, "Innovative Aspects of a Switched Star Cabled Television Distribution System," British Cable Services Limited, 1987 NCTA Technical Papers, 1987, pp. 26-35. | |
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| | | Videocipher Owner's Manual, M/A-Com., Publication Number 4096-048; Model Number VC-2000E or VC-2000E/B, undated. | |
| | | L. Walsh, Jr., "Timing Considerations in RF Two Way Data Collection and Polling," Jerrold-Applied Media Lab, 1989 NCA Technical Papers, 1989, pp. 47-56.* | |
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| | | "Westar and Videotoken Network Present The CableComputer" (Plaintiff's Exhibit 334). | |

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| Examiner Signature | | Date Considered | |
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All References Have Been Considered: _____
 Examiner _____